

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

IRENE KAUFMAN AND BERNICE KAUFMAN,
as trustees of the Irene Kaufman Trust,

Plaintiffs,

v.

HSBC USA INC., M.J.G. GLYNN, J.J. MCKENNA,
CLIVE BUCKNALL, S.H. ALFIERO, D.K. BOSWELL,
J.H. CLEAVE, F.D. FERGUSON, S.K. GREEN,
R.A. JALKUT, P. KIMMELMAN, C.G. MEYER, JR.,
J.L. MORICE, RICHARD S. FULD, JR., CHRISTOPHER
M. O'MEARA, MICHAEL L. AINSLIE, JOHN F.
AKERS, ROGER S. BERLIND, THOMAS H.
CRUIKSHANK, MARSHA JOHNSON EVANS,
SIR CHRISTOPHER GENT, ROLAND A. HERNANDEZ,
HENRY KAUFMAN and JOHN D. MACOMBER,

Defendants.

09-CV-7900

**CORPORATE
DISCLOSURE
STATEMENT**

Defendant HSBC USA Inc., by its attorneys, Phillips Lytle LLP, states that its parent corporation, through various intermediate subsidiaries, is HSBC Holdings plc. No other publicly-held corporation owns more than 10 percent of the stock of HSBC USA, Inc.

DATED: Buffalo, New York
November 12, 2009

PHILLIPS LYTLE LLP

By: s/ Paul K. Stecker
Paul K. Stecker (PS4892)
Attorneys for Defendant HSBC USA Inc.
Suite 3400, One HSBC Center
Buffalo, New York 14203
(716) 847-8400
pstecker@phillipslytle.com

and

437 Madison Avenue, 34th Floor
New York, New York 10022
(212) 759-4888

- 2 -

CC: DE VOS & CO., PLLC
(Lloyd De Vos, of Counsel)
Attorneys for Plaintiffs
1230 Avenue of the Americas, 7th Floor
New York, New York 10020
(212) 786-1000
ldevos@devos-law.com

FFFtl
Doc # 01-2332974.1